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PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
 BRIAN C. TARBOX ET AL. )      Examiner: Ella Colbert  
 Application No.: 10/073,632 )  
 Filed: February 11, 2002 )  
 For: SYSTEMS AND METHODS FOR )  
 IMPROVING INVESTMENT )  
 PERFORMANCE              )      Group Art Unit: 3624  
 )  
 )      Confirmation No.: 6664  
 )  
 )      November 10, 2005

Mail Stop Supplemental Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08B and also attached herewith.

FORMAL MATTERS

In accordance with 37 C.F.R. § 1.97(c), an information disclosure statement shall be considered by the Office if filed after the period specified in paragraph (b) of this section, provided that the information disclosure statement is filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by

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one of: (1) The statement specified in paragraph (e) of this section; or (2) The fee set forth in § 1.17(p).

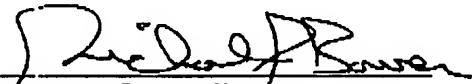
Therefore, the Commissioner is hereby authorized to charge Deposit Account No. 50-1710 the fee of \$180.00 which is set forth in § 1.17(p). Also, the Commissioner is authorized to charge any additional fees which may be deemed to be appropriate or to provide any refunds in connection with this paper to the same Deposit Account.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTC/SB/08B be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202)-625-3507. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
\_\_\_\_\_  
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